


GRI index for ADVA Optical Networking 2014 Sustainability Report

General Standard Disclosures

General Standard Disclosures	Page Number (or Link)	Links
	Information related to Standard Disclosures required by the 'in accordance' options may already be included in other reports prepared by the organization. In these circumstances, the organization may elect to add a specific reference to where the relevant information can be found.	
STRATEGY AND ANALYSIS		
G4-1	Sustainability Report 2014 - CEO Statement - Page 3	Sustainability Report 2014
ORGANIZATIONAL PROFILE		
G4-3	ADVA Optical Networking SE	
G4-4	http://www.advaoptical.com/en/products.aspx	
G4-5	Sustainability Report 2014 - Corporate Information - Page 30	Sustainability Report 2014
G4-6	An overview of ADVA Optical Networking's employee distribution by country is given on page 81ff of the Company's annual report 2014. ADVA Optical Networking Group wholly-owned subsidiaries and the countries they operate in are listed on page 125 of the Company's annual report 2014.	Annual Report 2014
G4-7	ADVA Optical Networking SE is a European stock corporation ("Societas Europaea"). http://www.advaoptical.com/en/about-us/investor-relations/stock-and-analysis/shareholder-structure.aspx	
G4-8	Sustainability Report 2014 - Twenty Years of Adding Value - Page 8. Additional information is given in the "Sales Regions and Customers" section of the Business Overview chapter on pages 44ff. of ADVA Optical Networking's annual report 2014	Annual Report 2014 Sustainability Report 2014
G4-9	ADVA Optical Networking SE is the parent company of the ADVA Optical Networking Group.	
G4-10	Sustainability Report 2014 - Our Employees - Page 18-19	Sustainability Report 2014
G4-11	Zero.	
G4-12	Sustainability report 2014 - Our Suppliers - Page 23. Additional information is given in the "Operations" section of the Business Overview chapter on pages 50ff. of ADVA Optical Networking's annual report 2014.	Annual Report 2014 Sustainability Report 2014
G4-13	Changes within the Management and Supervisory Boards can be found on page 26f of ADVA Optical Networking's annual report 2014. Changes in our leadership are given on page 20 of the Company's annual report 2014.	Annual Report 2014
G4-14	Sustainability Report 2014 - Our Approach to Sustainability - Page 10-13	Sustainability Report 2014
G4-15	Participation in QuEST Forum, Carbon Disclosure Project,...	
G4-16	This Information is given on page 9 of the Company's sustainability report 2014. Additional information can be found on our website: http://www.advaoptical.com/en/newsroom/press-releases-english/20150506	Sustainability Report 2014
IDENTIFIED MATERIAL ASPECTS AND BOUNDARIES		
G4-17	ADVA Optical Networking is organized by function, with executive officer prime responsibility split into R&D, Operations, Sales & Marketing and Finance & Administration. An overview of ADVA Optical Networking's shareholdings is given on page 125 of the Company's annual report 2014.	Annual Report 2014
G4-18	Sustainability Report 2014 - About this Report - Page 4	Sustainability Report 2014
G4-19	Sustainability Report 2014 - About this Report - Page 4	Sustainability Report 2014
G4-20	Boundary set as ADVA Optical Networking only, 15 entities including parent company and 14 wholly-owned subsidiaries.	
G4-21	Basis is ADVA Optical Networking only, 15 entities including parent company and 14 wholly-owned subsidiaries. No specific limitations.	
G4-22	None.	
G4-23	None.	
STAKEHOLDER ENGAGEMENT		
G4-24	Sustainability Report 2014 - Our Stakeholders - Page 5	Sustainability Report 2014
G4-25	Sustainability Report 2014 - Our Stakeholders - Page 5	Sustainability Report 2014

G4-26	Sustainability Report 2014 - Our Stakeholders - Page 5	Sustainability Report 2014
G4-27	Sustainability Report 2014 - Our Stakeholders - Page 5	Sustainability Report 2014
REPORT PROFILE		
G4-28	2014.	
G4-29	2014 is the fourth period reported for. The 2011 GRI Index report was published in March 2013.	
G4-30	Annual.	
G4-31	Katharina Lipp, ADVA Optical Networking SE, Märzenquelle 1-3, 98617 Meiningen-Dreißigacker, Germany, phone: +49 3693 450366, e-mail: klipp@advaoptical.com.	
G4-32	Defined in GRI index template. Sustainability report 2014 - About this Report - Page4	
G4-33	External assurance every other year (2011, 2013, 2015...)	
GOVERNANCE		
G4-34	http://www.advaoptical.com/en/about-us/investor-relations/corporate-governance.aspx	
ETHICS AND INTEGRITY		
G4-56	<p>We have adopted a Code of Conduct that summarizes fundamental ethical and legal duties and the most important principles and standards of our company and our subsidiaries. This code is an extension of our values and the foundation of who we are. All of our employees must comply with this code and any other corporate policy. Violations of our policies are not tolerated and may result in disciplinary action up to and including contract termination and personal liability.</p> <p>Company's sustainability report 2014 - Our Corporate Ethics and Compliance -Page 15</p> <p>http://www.advaoptical.com/en/about-us/corporate-responsibility/corporate-ethics-and-compliance.aspx</p>	Sustainability Report 2014
SPECIFIC STANDARD DISCLOSURES		
CATEGORY: ECONOMIC		
MATERIAL ASPECT: ECONOMIC PERFORMANCE		
G4-DMA	Relevant impacts of this aspect are economic and tracked quantitatively.	
G4-EC1	See ADVA Optical Networking Group's consolidated financial statements on pages 99ff. of the Company's annual report 2014.	Annual Report 2014
G4-EC2	See pages 91ff of ADVA Optical Networking's annual report 2014.	Annual Report 2014
G4-EC3	Does not apply. ADVA Optical Networking has no defined retirement program.	
G4-EC4	In 2014, the Group received no government grants for property, plant and equipment (prior year: EUR 414 thousand). Based on grant allowances EUR 126 thousand (prior year: EUR 420 thousand) allowances have been deducted from historical costs in 2014. See page 133 of the Company's annual report 2014.	Annual Report 2014
MATERIAL ASPECT: INDIRECT ECONOMIC IMPACTS		
G4-DMA	Relevant impacts of this aspect are economic and tracked quantitatively.	
G4-EC8	See the description of the general economic and market conditions in the Group management report on pages 60ff. of the Company's annual report 2014.	Annual Report 2014
CATEGORY: ENVIRONMENTAL		
MATERIAL ASPECT: MATERIALS		
G4-DMA	Reducing environmental impact by ensureance the compliance to appropriate directives under consideration of business advantages and aspects.	
G4-EN1	1086,84 tonnes (Non-renewable materials used)	
MATERIAL ASPECT: ENERGY		
G4-DMA	Reducing the environmental impact through resource saving and reaching positive economic impacts.	
G4-EN3	Sustainability Report 2014 - Our Environment - Page 27	Sustainability Report 2014
G4-EN6	Sustainability Report 2014 - Our Environment - Page 27	Sustainability Report 2014
G4-EN7	Sustainability Report 2014 - Our Products and Technology - Page 28	Sustainability Report 2014
MATERIAL ASPECT: EMISSIONS		
G4-DMA	Relevant impacts of this aspect are economic and environmental. They are tracked quantitatively.	
G4-EN15	Sustainability Report 2014 - Carbon Emissions - Page 26	Sustainability Report 2014
G4-EN16	Sustainability Report 2014 - Carbon Emissions - Page 26	Sustainability Report 2014
G4-EN17	Sustainability Report 2014 - Carbon Emissions - Page 26	Sustainability Report 2014
G4-EN19	Sustainability Report 2014 - Carbon Emissions - Page 26	Sustainability Report 2014
MATERIAL ASPECT: EFFLUENTS AND WASTE		
G4-DMA	Relevant impacts of this aspect are environmental and Economic. They are tracked quantitatively.	
G4-EN23	Sustainability Report 2014 - Carbon Emissions - Page 27	Sustainability Report 2014
G4-EN24	Zero.	
MATERIAL ASPECT: PRODUCTS AND SERVICES		

G4-DMA	Appropriate environmental legal requirements are known and considered up-front and during design phase.	
G4-EN27	Sustainability Report 2014 - Our Products &Technology - Page 28; Additional information is given on page 84f. of the Company's annual report 2014.	Sustainability Report 2014 Annual Report 2014
MATERIAL ASPECT: COMPLIANCE		
G4-DMA	Regularly reviewed environmental legal requirements -assessed if applicable to ADVA and ensure compliance by taking appropriate actions, if needed.	
G4-EN29	Zero.	
MATERIAL ASPECT: TRANSPORT		
G4-DMA	Optimization of transport and logistics, both with regard to the environment as well as cost, is one of the focus areas of our sustainability activities.	
G4-EN30	Sustainability Report 2014 - Our Environment - Page 27	Sustainability Report 2014
MATERIAL ASPECT: OVERALL		
G4-DMA	Reducing the environmental impact through resource saving and reaching positive economic impacts	
G4-EN31	Sustainability Report 2014 - Our Environment - Page 27; Additional information is given on page 84f. of the Company's annual report 2014.	Sustainability Report 2014
MATERIAL ASPECT: SUPPLIER ENVIRONMENTAL ASSESSMENT		
G4-DMA	Reducing the environmental impact through resource saving and reaching positive economic impacts.	
G4-EN32	Sustainability Report 2014 - Our Suppliers - Page 24	Sustainability Report 2014
CATEGORY: SOCIAL		
SUB-CATEGORY: LABOR PRACTICES AND DECENT WORK		
MATERIAL ASPECT: EMPLOYMENT		
G4-DMA	Relevant impacts of this aspect are social and economic. They are tracked quantitatively.	
G4-LA1	Sustainability Report 2014 - Employee Statistic - Page 18-19	Sustainability Report 2014
G4-LA2	Sustainability Report 2014 - Our Employees - Page 17	Sustainability Report 2014
MATERIAL ASPECT: OCCUPATIONAL HEALTH AND SAFETY		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-LA6	1,47%	
MATERIAL ASPECT: TRAINING AND EDUCATION		
G4-DMA	Relevant impacts of this aspect are social and economic. They are tracked quantitatively.	
G4-LA9	Sustainability Report 2014 - Our Employees - Page 17	Sustainability Report 2014
G4-LA10	Sustainability Report 2014 - Our Employees - Page 17	Sustainability Report 2014
G4-LA11	100%	
MATERIAL ASPECT: DIVERSITY AND EQUAL OPPORTUNITY		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-LA12	Sustainability Report 2014 - Our Employees - Page 18	Sustainability Report 2014
MATERIAL ASPECT: SUPPLIER ASSESSMENT FOR LABOR PRACTICES		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-LA15	Sustainability Report 2014 - Our Suppliers - Page 24	Sustainability Report 2014
SUB-CATEGORY: HUMAN RIGHTS		
MATERIAL ASPECT: NON-DISCRIMINATION		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-HR3	Zero.	
MATERIAL ASPECT: FREEDOM OF ASSOCIATION AND COLLECTIVE BARGAINING		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-HR4	Sustainability Report 2014 - Our Suppliers - Page 24	Sustainability Report 2014
MATERIAL ASPECT: CHILD LABOR		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-HR5	Sustainability Report 2014 - Our Suppliers - Page 24	Sustainability Report 2014
MATERIAL ASPECT: FORCED OR COMPULSORY LABOR		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-HR6	Sustainability Report 2014 - Our Suppliers - Page 24	Sustainability Report 2014
MATERIAL ASPECT: HUMAN RIGHTS GRIEVANCE MECHANISMS		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-HR12	Zero.	
SUB-CATEGORY: SOCIETY		

MATERIAL ASPECT: LOCAL COMMUNITIES		
G4-DMA	Relevant impacts of this aspect are social. They are tracked quantitatively.	
G4-S01	All major ADVA sites, covering 82% of total headcount, have a local community engagement and development program in place run by each site's local HR department. Sustainability Report 2014 - Our local Communities - Page 21	Sustainability Report 2014
G4-S02	None.	
MATERIAL ASPECT: ANTI-CORRUPTION		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-S03	ADVA Optical Networking analyzes all business units for risks related to corruption; 100%, 15, consisting of the parent company and its 14 wholly-owned subsidiaries. Link to the Corporate Ethics and Compliance web page	
G4-S04	ADVA Optical Networking provides regular training for all employees on the Company's code of conduct. Sustainability Report 2014 - Our Code of Conduct - Page 15	Sustainability Report 2014
G4-S05	Zero, no incidents have occurred in 2014. Incidents of assumed corruption, if any, will be escalated to the Chief Compliance Officer for further investigation and, depending on the outcome, appropriate action.	
MATERIAL ASPECT: PUBLIC POLICY		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-S06	Zero.	
MATERIAL ASPECT: ANTI-COMPETITIVE BEHAVIOR		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-S07	Zero.	
MATERIAL ASPECT: COMPLIANCE		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-S08	Zero.	
SUB-CATEGORY: PRODUCT RESPONSIBILITY		
MATERIAL ASPECT: CUSTOMER HEALTH AND SAFETY		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-PR1	During the development phase, all products are subject to health and safety assessments according to the given standards (TL, ISO, REACH, ROHS, and Certification Requirements (CE, UL ... (includes safety))). While on the market and after product discontinuation, health and safety impact is continuously monitored across all products and services, appropriate actions are taken if needed.	
G4-PR2	Zero.	
MATERIAL ASPECT: PRODUCT AND SERVICE LABELING		
G4-DMA	Relevant impacts of this aspect is economic.	
G4-PR3	WEEE and CE Label on all our products. Safe Use is part of our Customer User Manual.	
G4-PR4	Zero.	
G4-PR5	ADVA Optical Networking conducts a formal CSAT survey every year and does detailed follow up with customers on all notable findings. See CSAT 2014 Net Promoter Score Summary on page 6 of the Company sustainability report 2014.	Sustainability Report 2014
MATERIAL ASPECT: MARKETING COMMUNICATIONS		
G4-DMA	Relevant impacts of this aspect is economic.	
G4-PR6	Zero.	
G4-PR7	Zero.	
MATERIAL ASPECT: CUSTOMER PRIVACY		
G4-DMA	Relevant impacts of this aspect are social and economic.	
G4-PR8	Zero.	
MATERIAL ASPECT: COMPLIANCE		
G4-DMA	Relevant impacts of this aspect is economic.	
G4-PR9	Zero.	